

	ANSWER Yes/No/More info /Not applicable	DESCRIPTION Brief information underpinning the answer	SERIOUSNESS, IF ANSWER IS NO High/Medium/Low	ACTION Plan to address risk
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Governance and management

1. How do the partner's general vision, goals and strategy correspond with those of our higher education institution?

a. Are there shared areas of interest, challenges or ambitions? (What are these?)	XX	XX	XX	XX
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2. How well-developed is the partner's strategy for collaboration with higher education institutions in general?

a. Is collaboration with higher education institutions mentioned in the partner's general strategies/plans? (How is this described?)	XX	XX	XX	XX
b. Are there goals for collaboration with higher education institutions? (What are these?)	XX	XX	XX	XX
c. Are there action plans/activities for collaboration with higher education institutions? (What do these contain?)	XX	XX	XX	XX

3. How well-developed is the partner's governance of collaboration with higher education institutions in general?

a. Does the partner have designated people responsible for collaboration with higher education institutions at several organisational levels: management level, coordination level, operational level? (Who are these persons and what are their positions?)	XX	XX	XX	XX
b. Has the partner allocated resources for collaboration with higher education institutions? (On what scale?)	XX	XX	XX	XX
c. Are there rules of procedure, formal or informal, describing how issues of collaboration with higher education institutions are handled? (What do these look like?)	XX	XX	XX	XX

4. What, if any, conflicts of interest might there be for our higher education institution as a whole in respect of this partnership?

a. Is the partnership compatible with the higher education institution's goals? (What conflicts might there be in terms of goals?)	XX	XX	XX	XX
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b. Is the partnership compatible with the higher education institution's operations? (Which operations might be disadvantaged by a partnership?)	xx	xx	xx	xx
c. Is the partnership compatible with existing and relevant partners? (What conflicts of interest between different partners might need to be balanced against each other?)	xx	xx	xx	xx



Capacity, resources and financing

1. What capacity has the partner shown when it comes to collaborating with higher education institutions?

a. Are there examples of previous collaboration between our higher education institution and the partner? (How well did these work?)	xx	xx	xx	xx
b. Does the partner have experience of previous collaboration with other higher education institutions? (What did these result in?)	xx	xx	xx	xx

2. What resources does the partner have for collaboration with higher education institutions in general?

a. Are there special initiatives in research, education, innovation and development in collaboration with higher education institutions? (What do these look like?)	xx	xx	xx	xx
b. Are collaboration initiatives reported in the annual report or other communications, e.g. as good examples? (What has been reported?)	xx	xx	xx	xx

3. How extensive is our higher education institution's financial commitment to the partnership expected to be?

a. Will the partners only be financing their own operations within the partnership? (How extensive could joint financing be and over what time perspective?)	xx	xx	xx	xx
b. Are the contributions from the higher education institution and the partner equal? (How is the distribution justified?)	xx	xx	xx	xx
c. Do both organisations intend to finance the partnership solely with their own funds? (What opportunities might there be to augment this with resources from external financing?)	xx	xx	xx	xx

4. What resources is the partnership expected to require in addition to financing?				
a. Is there a consensus around how other assets in each organisation will be utilised within the partnership (e.g. physical space, infrastructures, laboratories, support functions, key individuals)? (What other assets might be relevant for utilisation?)	xx	xx	xx	xx
b. Will our higher education institution have an influence over the resources used for joint projects and activities? (In what way?)	xx	xx	xx	xx
5. How might the use of resources in the partnership affect other priorities at our higher education institution?				
a. Is there capacity available to utilise resources within the partnership, given the way the same resources are being used in other operations at our higher education institution? (If so, to what extent?)	xx	xx	xx	xx



Ethics and approach

1. To what extent does the partner agree with the values we expect at our higher education institution?				
a. Does the partner have knowledge and understanding of the value-related issues that are fundamental for our higher education institution? (To what extent?)	xx	xx	xx	xx
b. Does the partner have knowledge and understanding of academic values (including independence, the right to publish, the link between education and research)? (To what extent?)	xx	xx	xx	xx
2. How does the partner guarantee its operations?				
a. Does the partner have quality assurance systems for relevant operations? (Which operations are covered by such quality assurance systems?)	xx	xx	xx	xx
b. Is the partner certified to any standards? (Which ones are relevant for a partnership?)	xx	xx	xx	xx
3. Which, if any, of the partner's operational areas, events or anything else might our higher education institution need to pay special attention to during the partnership?				
a. Can the partner's operations be run without an ethical review at the Swedish Ethical Review Authority? (Which operation might require an ethical review?)	xx	xx	xx	xx

b. Are the partner's operations neutral from a security policy perspective? (In what way is the operation relevant in terms of security policy?)	xx	xx	xx	xx
c. Has media coverage of the partner been reassuring in recent times? (For what has the partner been attracting attention?)	xx	xx	xx	xx
d. Has it been determined whether the partner is the subject of ongoing or recently concluded legal proceedings? (What legal proceedings are there against the partner?)	xx	xx	xx	xx
e. Is the partner independent of other organisations (e.g. foreign ownership)? (Which relationships with other organisations might affect the higher education institution?)	xx	xx	xx	xx



Regulatory framework

1. Which regulations regulate the operations of the strategic partner?

a. Is the partner an organisation with a registered office in Sweden? (Which regulations apply where the partner has its registered office outside of Sweden?)	xx	xx	xx	xx
b. Is the higher education institution familiar with the legal form of the partner in question (company, foundation, association, government agency or other legal entity)? (What is the regulatory framework for the legal form of the partner?)	xx	xx	xx	xx

2. What is the partner's attitude towards issues of intellectual property law and freedom to publish?

a. Does the partner have knowledge and understanding of the principle of public access and confidentiality; intellectual property rights of academic staff; the right to use research findings and commercialisation in the area of collaboration with higher education institutions? (To what extent is this understanding shown?)	xx	xx	xx	xx
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3. Which regulatory framework for information security applies for the partner?

a. If the partner does not have its registered office in the EU, has the EU recognised the country's data as sufficient (pursuant to Article 45 of Regulation (EU) 2016/679)? (If not, what is the regulatory framework for data security and data protection?)	xx	Xx	xx	xx
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